# To Check the Validity of Neoclassical Canon in Western Maharashtra-An Anthropometric Study

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**Original Article** 

## ABSTRACT

**Introduction:** In ancient days, it was believed that attractive and harmonious faces were having certain fixed proportions known as neoclassical canons. These canons were used extensively by Leonardo Da Vinci, Durer in their art during renaissance. They served as guidelines for artists as well as for aesthetic surgeons for centuries and proved to be helpful till now. From ancient days to modern era, the exact formula of beauty is not yet calculated. The norms of beauty changes from country to country and race to race. A face is beautiful and shows harmonious features if the individual components are proportional, this is what is referred as facial balance. In ancient Greece, they calculated the formula for creation of art and these formulae are called as neoclassical canons. The classical Greek canons of facial balance are still foundation of modern reconstructive and aesthetic surgery.

**Aim:** To check the validity of neoclassical canon in Western Maharashtrian population.

**Materials and Methods:** This was an observational type of study carried out from March 2018 to January 2020. The validity of Naso-oral canon was checked in Western Maharashtrian population. According to this canon ideal mouth width (ch-ch) (mouth width (distance between right and left corners of

mouth called chelion)) is 1.5 times of Nose width/alar width (al-al) (alar width (distance between right and left ala of nose)). Mouth width=1.5 times of Nose width. However, the mouth width can be less than 1.5 times of nose width or mouth width can be greater than 1.5 times of nose width. This study was carried out in the five cities Sangli, Kolhapur, Islampur, Karad and Satara of Western Maharashtra, India. Total 1500 male and female students, 300 from each city, between the age group of 18-20 years were selected. Measurements were taken with the help of digital Vernier caliper. The methodology adopted for the measurements was taken from the guidelines given by Farkas LG in his book- "Anthropometric facial proportions in Medicine".

**Results:** In present study, total 68.33% subjects including males and females were having mouth width (ch-ch) lesser than one and half times of nose width/alar width (al-al) i.e., ch-ch <1.5 (al-al). Remaining 31.67% subjects were having mouth width greater than one and half times of nose width/alar width i.e., ch-ch >1.5 (al-al).

**Conclusion:** Naso-oral neoclassical canon was not found valid in Western Maharashtrian young adults. In 78.32% male and 62.80% female population of Western Maharashtra, mouth width was found lesser than one and half times of nose width.

Keywords: Anthropometry, Facial aesthetics, Facial balance, Facial proportion

# **INTRODUCTION**

Polycleitus (c.450-c.420BC) was a Greek sculptor who seemed to have been the first to define canons. The principles for the canons of the human body may have been defined by Egyptian artists who influenced the Greeks and Romans [1].

Leonardo Da Vinci worked extensively on the proportions of human body and face and he applied these canons in his art [2]. Ricketts RM popularised the concept of "golden proportion". The golden proportion was first recorded in the third century BC by Pythagoreans and later by Greek geometrician Euclid [2]. The golden proportion is defined as the ratio that is most attractive to the human eye and mind [2]. Farkas LG worked extensively on facial soft tissue anthropometry. By measuring and comparing more than 100 dimensions and proportions in hundreds of people, he defined standards for almost every soft tissue measurement in the head and face. Also, he published on the aesthetics of woman's faces and revised the classic canons for facial proportions in art to correlate these to current norms [2]. These neoclassical canons can be regarded as precursors to the current anthropometric facial indices which are used by anatomists, medical artists, aesthetic surgeons, orthodontists [3]. In the 1980s, Farkas LG, the Father of modern facial anthropometry revised the classic canons for facial proportions as he measured and compared the neoclassical canons in different ethnicities [4-6]. Evaluation of facial aesthetics is essential during treatment of planning of prosthodontic, orthodontic, plastic reconstructive surgery. For such surgeries one promising baseline

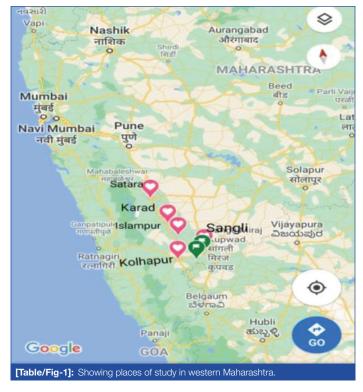
data should be available. So far, no data have been published on the validity of neoclassical canons in Western Maharashtrian population. Therefore, this study aimed to check the validity of neoclassical canon for young adults in Western Maharashtra as well as to generate baseline data for facial reconstructive surgeries and to compare the results with other ethnicities/ groups in the World.

## MATERIALS AND METHODS

This was an observational type of study which was carried out from March 2018 to January 2020. After getting due approval from both the Institutes: Prakash Institute of Medical Sciences and Research, Urun-Islampur (Ref No.-PSM/PIMSR/24013/2018) and Sumandeep Vidyapeeth University, Vadodara, Gujarat, India (SVIEC/IN/MEDI/ PHD/18004) the study was conducted. Total 1500 subjects including 535 males and 965 females from Kolhapur, Sangli, Karad, Satara and Islampur cities, 300 from each city were studied [Table/Fig-1]. The age group of participants was ranging between 18-20 years. After taking written consent from all the participants and No Objection Certificate (NOC) letter from Dean/Principal of respective College, the procedure and purpose of the study was explained to the participants.

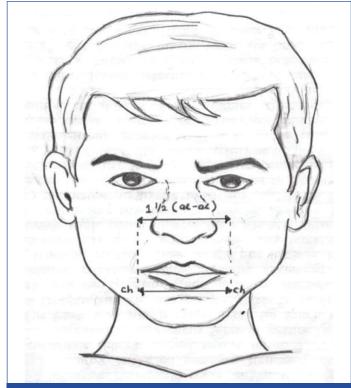
**Inclusion criteria:** Individuals with normal craniofacial configuration were selected. It was confirmed that all the participants were residing in the respective city from their forefathers after taking personal and family history in brief.

Exclusion criteria: Students who were not resident of Western Maharashtra were excluded from the study and those who were



having history genetically transmitted disorders like cleft lip, cleft palate were also excluded from the study.

Calibrated Standard digital Vernier caliper 30 centimeter length with accuracy of (0.01 mm) was used to take facial measurements. All the facial soft tissue landmarks were first marked with the help of skin marking pencil and then the measurements were taken by the author by standing in front of the participants. Keeping both the arms of the caliper on either side of alar of nose similarly on both corners of the mouth (chelion), nose width/alar width (al-al) and mouth width (ch-ch) was measured respectively [Table/Fig-2]. Participants were asked to remain quiet with erect neck with gently closed lips and avoid talking or laughing while taking measurements. All the facial measurements were taken in millimeters. The methodology adopted for the measurements was taken from the guidelines given by Farkas LG in his book-"Anthropometric facial proportions in Medicine" [7].



[Table/Fig-2]: Showing facial landmarks and naso-oral canon.

Facial Measurements was checked by the validity of one horizontal neoclassical canon, the naso-oral canon in which ideal mouth width (ch-ch) should be equal to one and half times of nose width/alar width (al-al) i.e., (ch-ch)=1.5 (al-al).

Mouth width can be lesser than 1.5 times nose width i.e., (ch-ch) <1.5 (al-al) or mouth width can be greater than 1.5 times nose width i.e., (ch-ch) >1.5 (al-al).

For this the following facial measurements were taken:

1a) Width of Mouth (ch-ch)-distance between right and left chelion;1b) Width of nose/alar width (al-al)-distance between right and left alar of nose.

## STATISTICAL ANALYSIS

All the collected data were subjected to appropriate statistical analysis (mean, standard deviation), p-value. It was analysed by using three way ANNOVA test with type III sum of squares. Software used was R statistical software with 3.5 version.

## RESULTS

#### Nose width/Alar width (al-al)

Mean nose width/alar width in Western Maharashtrian males was found to be significant (p-value <0.001) at 5% level of significance. In general males were having significantly higher alar width as compared to females [Table/Fig-3]. [Table/Fig-4] shows place-wise mean alar width.

| Variable  | Male       | Female     | p-value |  |  |  |
|---|------------|------------|---------|--|--|--|
| Mean Alar width with std. deviation (mm)                            | 38.28±2.72 | 35.26±2.47 | <0.001  |  |  |  |
| [Table/Fig-3]: Gender-wise mean alar width with standard deviation. |            |            |         |  |  |  |

| Place   | n   | Alar width (mean) (mm) | SD    | SE    |  |
|---|-----|------------------------|-------|-------|--|
| Islampur  | 300 | 37.241                 | 2.793 | 0.242 |  |
| Karad   | 300 | 37.015                 | 2.291 | 0.2   |  |
| Kolhapur  | 300 | 36.612                 | 2.581 | 0.215 |  |
| Sangli  | 300 | 36.524                 | 2.493 | 0.212 |  |
| Satara  | 300 | 36.461                 | 2.846 | 0.236 |  |
| [Table/Fig-4]: Place-wise mean alar width with standard deviation and |     |                        |       |       |  |

n: No. of subjects; SD: Standard deviation; SE: Standard error

### Mouth Width (ch-ch)-

Mean mouth width in Western Maharashtrian males was found to be significant. Mean width of mouth was higher for male than females [Table/Fig-5]. [Table/Fig-6] shows place-wise mean mouth width.

| Variable   |   |                    | Male       | Female     | p-value |  |
|--|---|--------------------|------------|------------|---------|--|
| Mean mouth width std deviation (mm)                                  |   |                    | 53.92±3.79 | 51.53±3.50 | 0.001   |  |
| [Table/Fig-5]: Gender-wise mean mouth width with standard deviation. |   |                    |            |            |         |  |
|  |   |                    |            |            |         |  |
| Place  | n | Mouth width (mean) |            | SD         | SE      |  |

| Place  | n   | (mm)                 | SD    | SE    |  |  |
|--|-----|----------------------|-------|-------|--|--|
| Islampur   | 300 | 53.780               | 3.742 | 0.216 |  |  |
| Karad  | 300 | 52.283               | 3.593 | 0.207 |  |  |
| Kolhapur   | 300 | 52.268               | 3.468 | 0.200 |  |  |
| Sangli   | 300 | 300 51.453 3.990 0.2 |       |       |  |  |
| Satara 300 52.139 3.778 0.218  |     |                      |       |       |  |  |
| [Table/Fig-6]: Place-wise mean mouth width with standard deviation and standard error. |     |                      |       |       |  |  |

n: No. of subjects; SD: Standard deviation; SE: Standard er

According to naso-oral canon, width of mouth (ch-ch) should be one and half times of nose width (al-al). Width of mouth could be lesser or greater than 1.5 times of nose width. In present study, total 68.33% subjects including males and females were having ch-ch <1.5 al-al. Remaining 31.67% subjects were having ch-ch >1.5 al-al. 78.32% males and 62.80% females were with ch-ch <1.5 al-al. Not any subject was found with mouth width equal to 1.5 times of alar width. More details are shown in [Table/Fig-7,8].

| Naso-oral canon   | Count | Percentage |  |  |
|---|-------|------------|--|--|
| ch-ch <1.5 al-al  | 1025  | 68.33      |  |  |
| ch-ch >1.5 al-al  | 475   | 31.67      |  |  |
| Total   | 1500  | 100        |  |  |
| [Table/Fig-7]: Showing prevalence of naso-oral canon with percentage. |       |            |  |  |

|   | Female |            | Male  |            |  |
|---|--------|------------|-------|------------|--|
| Naso-oral canon   | Count  | Percentage | Count | Percentage |  |
| ch-ch <1.5 al-al  | 606    | 62.80      | 419   | 78.32      |  |
| ch-ch >1.5 al-al  | 359    | 37.20      | 116   | 21.68      |  |
| Total   | 965    | 100        | 535   | 100        |  |
| [Table/Fig 9]. Showing distribution of page and companyin males and females |        |            |       |            |  |

[Table/Fig-8]: Showing distribution of naso-oral canon in males and females.

## DISCUSSION

Naso-oral canon represents relationship between mouth width and nose width/alar width. According to this canon ideal mouth width (ch-ch) should be equal to 1.5 times of nose width (al-al). Mouth width can be greater or lesser than nose width.

In the present study, ch-ch=1.5 (al-al) was not found among Western Maharashtrian population similar to the results of another study done on Indian and Malaysian women by Kusuagal P et al.,

[8]. Other studies showed validity of this canon up to some extent by comparing of nose width and mouth width between different ethnicities/population is shown in [Table/Fig-9] [9,10]. Farkas LG et al found 21.7% validation in North American Caucasian [11]. Also it was found valid in 17% each of Turkish males and females by Karaca Sayagili O et al., in 5.9% males and 11.5% females of Southern China by Jayaratne YSN et al., 12.50% males and 12.26% females of Bulgaria by Sivkov S et al., in 0.9% African-American males by Jennifer PP et al., in 6% males and 3% females of Kenya by Virdi S et al. [12-16].

Mouth width less than 1.5 times of alar width was seen in 78.32% of males and 62.80% of females in the present study. Similar results were found in a study done on Singapore, Chinese, Vietnamese, Thais, but mouth width studied in Indian Women by Farkas LG and Christopher R, was significantly less than in present study [9]. Similar distribution was seen in Kenyan individuals, in Indian and Malaysian women, in Turkishand in Southern Chinese and in Bulgarians [Table/Fig-10] [8,12,13,14,16].

Mouth width greater than 1.5 times of nose width was seen in 21.68% of males and 37.20% in females of the present study.

#### Limitation(s)

As this study was focused only on five cities of Western Maharashtra, results of it cannot be generalised for Maharashtra as well as for India.

| Author                           |                              | al-a  | al-al (mm) |       | ch-ch (mm) |  |
|----------------------------------|------------------------------|-------|------------|-------|------------|--|
|                                  | Population/Ethnicity         | Male  | Female     | Male  | Female     |  |
|                                  | North American White         | 34.7  | 31.4       | 53.3  | 49.8       |  |
|                                  | Bulgarian                    | 36    | 33         | 49.8  | 46.2       |  |
|                                  | Greek                        | 35.7  | 32.4       | 51.8  | 50.3       |  |
| Farkas LG and Christopher R, [9] | Russian                      | 35.8  | 33.2       | 52.5  | 48.1       |  |
|                                  | Iranian                      | 35.3  | 32.1       | 50.3  | 45         |  |
|                                  | Turkish                      | 36.8  | 32.9       | 53    | 47.6       |  |
|                                  | Egyptian                     | 32.4  | 29.3       | 48.3  | 46.7       |  |
|                                  | Indian                       | 37.9  | 33.8       | 51    | 46.5       |  |
|                                  | Japanese                     | 38.2  | 37.1       | 48.4  | 46.5       |  |
|                                  | Afro- American               | 44.1  | 40.1       | 54.6  | 53.6       |  |
| Othman SA et al., [10]           | Malay                        | 39.59 | 36.67      | 50.83 | 48         |  |
| Present study                    | Indian (Western Maharashtra) | 38.28 | 35.26      | 53.92 | 51.53      |  |

Ch-Ch=1.5 (al-al) Ch-Ch <1.5 (al-al) Ch-Ch >1.5 (al-al) Female Male Male Female Male Female Author Population Total Total Total 0 0 0 Present study Indian 78.32 62 80 21.68 37 20 Farkas LG et al., [11] Singapore -1.70 --96.70 --1.70 Chinese Vietnamese 0 100 0 ----\_ Thais 1.70 \_ 98.30 \_ 0 \_ \_ \_ \_ 21.70 18.30 North Americian 60 Caucasian Turkish 17.17 17.17 \_ \_ Sayagili K et al., [12] 43 52 -40 31 Jayaratne YSN et al., [13] 5.90 11.50 92 20 82 70 2 5 80 Chinese Sivkov S et al., [14] 12.50 12.26 \_ 74.11 47.17 13.39 40.57 \_ Bulgarian Kusugal P et al., [8] 43.33 Indian 0 56.67 Malaysian 0 \_ 73.33 -\_ 26.67 Jennifer P, [15] African American 0.90 96.30 2 80 \_ Sourabh Virdi et al., [16] 3 89 6 Kenvan 6 86 11 \_ [Table/Fig-10]: Comparison of Naso-oral canon with other studies worldwide by different authors [8,11-16]. (All the values are in percentage).

# CONCLUSION(S)

Verv few studies are available which have checked the validity of neoclassical canons worldwide, amongst that only few showed conformity about some classical canons. Neoclassical canons can be used in facial aesthetic surgeries in the absence of anthropometric data but cannot be used as ideal proportions as they did not found valid in most of the ethnicities worldwide. Naso-oral neoclassical canon could not found valid in Western Maharashtrian young adults. In 78.32% male and 62.80% female population of Western Maharashtra, mouth width was found lesser than one and half times of nose width.

To generalise it, more extensive researches are required in this field in Maharashtra as well as in India in various ethnic groups. There is a need to study other neoclassical canons, both horizontal and vertical in Maharashtra as well as India.

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